TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1507(c))					Docket No. 17408		
In Re Application Of: Koichi Ohto, et al.							
Serial No.	Group Art Unit						
10/767,786	Filing Date  January 29, 2004	Unassigned		Unassigned			
Title: SEMICONDUCTOR DEVICE, AND PRODUCTION METHOD FOR MANUFACTURING SUCH SEMICONDUCTOR DEVICE							
Address to:  Commissioner for Patents P.O. Box 1450  Alexandria, VA 22313-1450							
_		37 CFR 1.97	` *				
1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.							
	• ,	37 CFR 1.97	(c)				
The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
☐ the st	☐ the statement specified in 37 CFR 1.97(e);						
	OR		•				
☐ the fe	the fee set forth in 37 CFR 1.17(p).						

	SURE STATEMENT	Docket No. 17408				
In Re Application:	Koichi Ohto, et al.	AR 2 2 2004 y				
Serial No.	Filing Date Examiner Group Art Unit					
10/767,786	January 29, 2004	Unassigned Unassigned				
	OR DEVICE, AND PRODUCTIONG SUCH SEMICONDUCTOR I					
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	•	cts to pay the fee set forth in 37 CFR 1				
☐ Credit an ☐ Charge a ☐ Certificate of C	ne amount of y overpayment. ny additional fee required.  Fransmission by Facsimile*  ent and authorization to charge deposit mile transmitted to the United States	Certificate of Mailing by First Class Mail  I certify that this document and fee is being deposited on March 18, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  Signature				
	Paul J. Esatto, Jr.					
	Vame of Person Signing Certificate	Typed or Printed Name				
$\mathcal{L}_{\mathcal{L}}$	y only be used if paying by  Gresser	Dated: March 18, 2004				
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cc:						



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Koichi Ohto, et al.

**Examiner:** 

Unassigned

Serial No:

10/767,786

**Art Unit:** 

Unassigned

March 18, 2004

Filed:

January 29, 2004

**Docket:** 

Dated:

17408

For: SEMICONDUCTOR DEVICE,

AND PRODUCTION METHOD FOR

MANUFACTURING SUCH SEMICONDUCTOR

**DEVICE** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. Japanese Patent Publication No. 2002-026121, dated January 25, 2002, together with English-language Abstract;
- 2. Japanese Patent Publication No. 2003-017561, dated January 17, 2003, together with English-language Abstract;

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on March 18, 2004.

Dated: March 18, 2004

Applicants are submitting a copy of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.

Registration No.: 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

PJE:hpm

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Option 174)		10/767,786			
				Applicant(s)  Koichi Ohto, et al.					
					Filing Date January 2		Group Art Unit	nassigned	
			U.S	S. PATEN	T DOCUMENTS				
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